

INDICATIVE AUDIT COMMITTEE WORKPLAN 2014/15

NOTE :- Proposed substantive items to be presented and discussed have been put in **bold**.
Proposed *information* items that will not be presented are in *italics*
Standing 'business' items are in normal font

* Awareness / Briefing Session to be held prior to the meeting

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
4	Wednesday 5th November 2014	<ul style="list-style-type: none"> • Internal Audit Quarterly Report 2014/15 (Qu.2) • Internal Audit – External Assessment arrangements • External Audit - Annual Audit Letter 2013/14 • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> • Strategic Risk Register Review 		<ul style="list-style-type: none"> • Update on independent member recruitment - verbal • Audit Committee workplan 2014/15
5	Wednesday 10th December 2014	<ul style="list-style-type: none"> • Review of the Effectiveness of Internal Audit – Action Plan Update • Internal Audit Recommendations Implementation Update • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> • Risk Management Update Report 	<ul style="list-style-type: none"> • Corporate Finance and Performance Management & Capital Programme Update @ Sept. 	<ul style="list-style-type: none"> • Prosecutions Policy Review • Annual Governance Statement 2013/14 Action Plan Update • Review of Ombudsman Complaints 2013/14 • Fraud Management Update Report • Partnership Governance Review • Audit Committee workplan 2014/15
6	Wednesday 21st January 2015	<ul style="list-style-type: none"> • Internal Audit Quarterly Report 2014/15 (Qu.3) • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor (as required) 			<ul style="list-style-type: none"> • RIPA Update Report • Annual Governance Review 2014/15 • Local Code of Corporate Governance • Review of the Corporate Whistleblowing Policy • Audit Committee workplan 2014/15
7	Wednesday 25th March 2015	<ul style="list-style-type: none"> • Internal Audit Charter and Strategy • Internal Audit Plan 2015/16 • External Audit – Claims and Returns Annual Report 2013/14 • External Audit – 2014/15 Audit - Audit Plan • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External 	<ul style="list-style-type: none"> • Strategic Risk Register Review 	<ul style="list-style-type: none"> • Treasury Management Policy & Strategy Statements 2015/16 • 2015/16 Budget Proposa Section 25 Report • Corporate Finance and Performance 	<ul style="list-style-type: none"> • Information Governance Update Report • Audit Committee workplan 2014/15

Mtg. No.	Meeting Date	Audit Matters (Internal and External)	Risk Management Matters	Statutory Accounts / Financial Management	General Controls Assurance / Audit Committee General / Other
8	Wednesday 22nd April 2015	Auditor (as required) <ul style="list-style-type: none"> Internal Audit Quarterly Report 2014/15 (Qu4) External Audit – Progress Report & Technical Update Private item with the Internal Auditor and External Auditor (as required) 	<ul style="list-style-type: none"> Risk Management Policy & Strategy 	Management & Capital Programme Update @ Dec.	<ul style="list-style-type: none"> Corporate Whistleblowing Policy Update and Annual Report Audit Committee Review of Terms of Reference and Self-Assessment Audit Committee – Training Review and Skills Self-Assessment Audit Committee workplan 2014/15

To Note

- Further Audit Committee meeting have been planned for the following dates. A workplan will be issued nearer to the date.
10th June 2015
22nd July 2015
18th September 2015 - Friday @ 2.00pm
4th November 2015
9th December 2015